

## CANDIDATE OR COMMITTEE CLAIM OF EXEMPTION

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### From Filing Campaign Financial Disclosure Reports

NEW YORK STATE BOARD OF ELECTIONS  
Section 14-124 of NYS Election Law & 9 NYCRR Parts 6200.3(a) & 6200.5

Please check the applicable boxes below and complete this form in full:

☐ For State Campaign                      -or-                      ☐ For Local Campaign (provide County): \_\_\_\_\_

[ ] Candidate -or- [ ] Committee

**A. OFFICE:** \_\_\_\_\_  
(For a local campaign also include name and type of municipality e.g., city of..., town of..., or village of...)

District: \_\_\_\_\_ Election Year: \_\_\_\_\_

**B. CANDIDATE OR COMMITTEE NAME:** \_\_\_\_\_

Committee Treasurer Name (If applicable): \_\_\_\_\_

Residential Address (No P.O. Box): \_\_\_\_\_ Apartment #: \_\_\_\_\_

City or Town: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Mailing Address (P.O. Box allowed): \_\_\_\_\_ Apartment #: \_\_\_\_\_

City or Town: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Email Address: \_\_\_\_\_

Telephone: Home: \_\_\_\_\_ Business: \_\_\_\_\_ Cell: \_\_\_\_\_

Please check the applicable box that relates to your claim of exemption:

☐ **C.** I am a candidate and I have not/will not receive or spend more than \$50 for my campaign, including my own personal funds.

☐ **D.** I am the treasurer of a committee formed solely to support or oppose a ballot proposition and the committee has not/will not raise or spend over \$100 relative to the ballot proposition.

☐ E. For those in a town, city or village having a population under 10,000: 1) I am a candidate for public office, or treasurer of an authorized committee solely supporting one candidate for public office, or treasurer of a committee solely supporting or opposing a ballot proposition; and 2) the receipts or expenditures of the candidate or committee will not exceed \$1,000 in the aggregate for the campaign.

If after submission of this form the basis for a claim of exemption becomes invalid due to a change in circumstances (e.g., exceeding monetary threshold or scope of candidate/committee activity), the candidate/committee must then file all applicable election reports. See instructions.

The above information is true to the best of my knowledge and belief.

*Signature of Candidate*

*Date*

Email: \_\_\_\_\_

Phone: \_\_\_\_\_

# EXEMPTION INSTRUCTIONS

A candidate or Ballot Issue committee must file this form with the New York State Board of Elections (NYSBOE) at either the address, fax number, or email address at the bottom of this page. If the candidate or ballot issue committee is participating in a village where the village clerk runs the election, this form should be filed with that village clerk.

**For State Campaign:** Check this box for candidates for New York State Governor, Lt. Governor, State Comptroller, State Attorney General, State Senate, State Assembly, State Supreme Court and certain party offices, as well as those solely supporting or opposing statewide ballot propositions.

**For Local Campaign:** Check this box for all other offices and local ballot propositions, and list the county name where the local office is being sought or the ballot proposition is appearing.

**Candidate or Committee:** Check the appropriate box to indicate whether this is a candidate or a ballot issue committee seeking a claim of exemption.

**Item A:** Both candidates for statewide and local office must provide the office sought, district number (if applicable) and election year. Local candidates must also provide the name and type of municipality (e.g., City of Newburgh; Town of Colonie; Village of Scotia). Ballot issue committees must provide the ballot issue, if the committee is supporting or opposing the ballot issue, as well as the election year.

**Item B:** Enter the name of the candidate or ballot issue committee. Enter the treasurer's full name, if applicable. Residential address is mandatory, include apartment number (if applicable). A P.O. Box is not allowed for residential address. Email address is also mandatory. Please note: the email address will be used as a log-in for the filing system (if exemption status changes) and by the NYSBOE to communicate with its filers. The email address should be one that the candidate/treasurer accesses regularly and must be updated with the NYSBOE immediately if it changes.

**Item C:** By selecting this box, the candidate is informing NYSBOE that they have not/will not raise or spend more than \$50 for their campaign, including their own personal funds (this includes a candidate for member of a county committee of a political party or any candidate for delegate or alternate delegate to a judicial district convention).

**Item D:** By selecting this box, the treasurer of the ballot issue committee is informing NYSBOE that they have not/will not raise or spend over \$100.

**Item E:** By selecting this box, the candidate or treasurer of the ballot issue committee is informing NYSBOE that they are running for public office or supporting or opposing a ballot issue in a town, city or village having a population under 10,000, and the candidate or ballot issue committee has not/will not raise or spend more than \$1,000 for their campaign, including their own personal funds, if applicable.

Note: This exemption may become invalid, requiring registration and filing of campaign financial disclosure reports with the NYSBOE, if the candidate or committee supports or opposes candidates or ballot propositions outside of the applicable jurisdiction.

Note: For a town or village, ballot propositions not submitted to the voters of that municipality at the time of a general election fall outside the scope of NYS Election Law. Therefore, committees solely supporting ballot propositions in a town or village taking place other than in November do not have to make campaign financial disclosures relative to their ballot proposition activity. In this instance, this form does not have to be filed.

This form shall be completed in full and submitted to:

**NYS Board of Elections  
Attn: Compliance Unit  
40 North Pearl Street, Suite 5  
Albany, NY 12207**

The form may also be accepted electronically:

- Email as an attachment to [Exemption@elections.ny.gov](mailto:Exemption@elections.ny.gov) -OR-
- Fax to (518) 486-6627